

The Effect of Social Class on Agency and Communion:
Reconciling Rank-based and Identity-based Perspectives

Anna Lisa Aydin

Goethe University Frankfurt

Johannes Ullrich

University of Zurich

Birte Siem

FernUniversität in Hagen

Kenneth D. Locke

University of Idaho

Nurit Shnabel

Tel Aviv University

Version accepted for publication in Social Psychological and Personality Science (June 2018)

This research received funding from German Israeli Foundation (1119-126.412010) and was supported by a grant from the German Research Foundation awarded to Jenny Roth for a scientific network (RO 4826/1-1).

Correspondence concerning this article should be addressed to Anna Lisa Aydin, Goethe University Frankfurt, Social Psychology Department, Theodor-W.-Adorno-Platz 6 (PEG), 60323 Frankfurt, Germany. E-mail: Aydin@psych.uni-frankfurt.de

Abstract

How does social class affect people's goals in social interactions? A rank-based perspective suggests *actors* from higher social classes (compared to lower social classes) have more agentic and less communal goals when interacting with same-class or unspecified others. Focusing on *targets'* social class, an identity-based perspective suggests the reverse: Actors should more strongly endorse communal (agentic) goals towards illegitimately lower-class (higher-class) compared to higher-class (lower-class) targets, regardless of actors' own social class. Three preregistered experiments ($N = 2,023$) manipulated actor's social class and the nature of the target (illegitimately higher/lower class, same class, unspecified), and measured participants' goals in imagined interactions using the Circumplex Scales of Intergroup Goals. The identity-based perspective received strong support: Across studies, actors expressed stronger agentic (communal) goals towards higher-class (lower-class) targets. The rank-based perspective received limited support, with relatively low (vs. high) class actors expressing stronger communal goals towards same-class targets.

Keywords: social class, actor, target, agency, communion, Circumplex Scales of Intergroup Goals

The Effect of Social Class on Agency and Communion:
Reconciling Rank-based and Identity-based Perspectives

In the last decade, social psychologists have become increasingly interested in understanding behavioral styles associated with social class (e.g., Fiske & Markus, 2012; Kraus, Piff, Mendoza-Denton, Rheinschmidt, & Keltner, 2012; Stephens, Markus, & Phillips, 2014). The concept of rank-based social class—the notion that the effect of social class stems from people's perception of their *relative ranking* in a social hierarchy (Kraus, Tan, & Tannenbaum, 2013)—has especially advanced this field. This is because experimentally manipulating social class can eliminate confounding factors and isolate the causal role of social class on various psychological outcomes, such as goals people pursue in interactions. These goals, as well as other forms of social cognition and behavior, can be organized along the two fundamental content dimensions of agency and communion (Abele & Wojciszke, 2014). *Agency* refers to “the pursuit of independence and autonomy of the individual and aims at control, assertiveness, and self-enhancement,” whereas *communion* refers to “the self as a part of a community and is geared toward closeness, affection, and cooperation” (Grosse Holtforth, Thomas, & Caspar, 2011, p. 109). While much of the research observed higher communion among lower classes and higher agency among upper classes, other research observed exactly opposing patterns. The present research aimed to reconcile these contradicting patterns by systematically distinguishing between the social class of *actor* and *target* within interactions.

The rank-based perspective on social class (Kraus et al., 2012) focuses on predictions for actor class. It accounts for the negative effect of actor class on communion by arguing that because lower-class individuals experience more threatening and hostile environments and have less resources and control over outcomes, they must rely more strongly on mutual aid, resulting in communal self-concepts and behaviors. By contrast, upper-class individuals have

greater control, access to resources, and independence from others, resulting in more individualistic and agentic self-concepts and behaviors (Guinote, Cotzia, Sandhu, & Siwa, 2015; Kraus & Mendes, 2014).

Although the rank-based perspective on social class does not explicitly distinguish between interactions with ingroup members or “general” interactions in which the target is unspecified, its logic applies to both cases. Specifically, since this perspective highlights the interdependence of lower-class individuals *within* their class as a cause of higher prosociality, it clearly pertains to ingroup interactions. Similarly, upper-class individuals are presumed to act agentially (e.g., compete over leading positions) with other upper-class individuals. These behavioral patterns should also emerge when the social class of the interaction partner is not salient (i.e., in “general” interactions with unspecified others), as it is hypothesized to develop through repeated experiences and become the *modus operandi* (Kraus et al., 2012).

Consistent with the rank-based perspective, various studies—involving situations in which the interaction target’s social class was not specified, implicitly resembled one’s own social class, or varied unsystematically—found more communal/prosocial behaviors among the lower classes (Dubois, Rucker, & Galinsky, 2015; Guinote et al., 2015; Kraus & Callaghan, 2016; Piff, Kraus, Côté, Cheng, & Keltner, 2010; Stellar, Manzo, Kraus, & Keltner, 2012). However, studies that at least implicitly took the target’s social class into account have found the opposite effect. For instance, Korndörfer, Egloff, and Schmukle (2015), who analyzed survey data in which most targets of respondents’ volunteering and charitable donation behavior implicitly belonged to lower social classes, reported *more* prosociality among the upper classes. Similarly, Liebe, Naumann, and Tutić (2017) showed a positive relationship between occupational status (one aspect of social class) and prosocial behavior. Finally, studies that explicitly varied the target of prosocial behavior found that people’s behavior was less communal toward a higher-class target but unaffected by participants’ own class (van Doesum, Tybur, & van Lange, 2017).

The main aim of the present research is to reconcile these seemingly contradictory findings by complementing the rank-based perspective with its focus on actor class by an identity-based perspective which takes the target's class into account. Specifically, based on the social identity approach (Tajfel & Turner, 1986; Turner, Hogg, Oakes, Reicher, & Wetherell, 1987), we argue that interactions with members from different social classes are likely to increase the salience of categorizations in terms of social class. Consequently, people's goals will shift and align with those of their ingroup in the *current rank constellation*. What motivations, then, guide interactions between people from *different* social classes? The needs-based model (Nadler & Shnabel, 2015) posits that in contexts of illegitimate structural inequality, groups of different status experience different threats to their ingroup's identities. Whereas members of illegitimately advantaged groups experience threat to—and thus motivation to restore—their ingroup's communion (perceived warmth and prosociality), members of illegitimately disadvantaged groups experience threat to—and thus motivation to restore—their ingroup's agency (perceived power and capability) (Shnabel, Ullrich, Nadler, Dovidio, & Aydin, 2013; Siem, von Oettingen, Mummendey, & Nadler, 2013).

Thus, when social class inequality is perceived as illegitimate, the identity-based perspective predicts a positive effect of target class on communion because upper-class members should be inclined to restore their ingroup's moral image by behaving prosocially (e.g., donating money) toward illegitimately lower-class members. It also predicts a negative effect on agency because illegitimately lower-class members should be motivated to restore their ingroup's agency (e.g., through collective action to improve their access to resources) when interacting with upper-class members.

In summary, the rank-based perspective and the identity-based perspective make complementary, not competing, predictions: Whereas the former postulates *actor* class effects in social interactions with ingroup members or unspecified others, the latter postulates *target* class effects in interactions with outgroup members whose relative status is perceived to be

illegitimate. While previous studies have provided support for each perspective, different studies have used different samples, manipulations, and measures, and no studies have tested the two perspectives simultaneously. Moreover, in previous research either only actor class was considered or, if both actor and target class were considered, at least one of them was not explicitly manipulated or measured (for an exception, see van Doesum et al., 2017). In fact, no study has simultaneously manipulated actor and target status. The present research is designed to address this gap.

The Present Research

In the interest of theoretical integration, the present research simultaneously tested the hypotheses from the rank-based perspective and the identity-based perspective. Three preregistered experiments manipulated actors' class (low, high) and the nature of the target (illegitimately higher/lower outgroup, ingroup, unspecified) and measured participants' agentic and communal goals in imagined interactions. Studies 1a and 1b (a direct replication) established the ideal conditions for the effects of actor and target class to emerge. Specifically, we assumed the identity-based effects would emerge when highlighting the illegitimacy of class differences and assessing group-level goals (i.e., what goals should *we* pursue?) in interactions with higher- or lower-class persons. In contrast, we assumed that the rank-based effects would emerge when assessing individual-level goals (i.e., what goals should *I* pursue?) in interactions with same-class or unspecified individuals (without mentioning the illegitimacy of class differences).

Because they varied several factors simultaneously—nature of the target (illegitimately higher/lower outgroup, ingroup, unspecified), level of goal pursuit (individual-level, group-level), illegitimacy of class differences (not mentioned, explicitly mentioned)—Studies 1a and 1b allowed for the predicted opposite effects to emerge, but cannot disambiguate the exact cause of the differences. To address this limitation, Study 2 tested the

predictions of the identity-based perspective against the various possible explanations arising from Studies 1a and 1b.

Studies 1a and 1b

Method

The desired sample size of $N = 420$ (70 participants per cell) was determined a priori based on power analysis (<https://osf.io/u4d6r/>). Exclusion of participants (<https://osf.io/4zk8t/>) and hypothesis testing followed exactly the preregistration (<https://osf.io/95pur/>).

Participants. In Study 1a, participants were 515 students from two German universities, most of them from a public distance learning university (396 female, 115 male, 4 other; $Mdn_{age} = 30$). In Study 1b, we ran the identical study again on 456 participants recruited by the online research firm *workhub* (185 female, 270 male, 1 other; $Mdn_{age} = 27.50$).

Design. Participants were randomly assigned to the cells of a 2 (Actor Class [low, high]) \times 3 (Nature of the Target [illegitimately higher/lower outgroup, ingroup, unspecified]) design. Note that the design was not strictly orthogonal: In the outgroup target condition, the low (high) actor class manipulation was always paired with an illegitimately higher (lower) outgroup target (see Study 2 for an unconfounded manipulation).

Procedure. Participants completed a 10-minute online survey. First, they provided demographic information including objective social class. Second, we manipulated actor class by asking participants to compare themselves with either extremely low- or high-class targets. Third, we assessed agentic and communal goals towards an illegitimately higher/lower outgroup, ingroup, or unspecified others. Finally, we assessed perceived legitimacy of class inequality.

Objective social class. A composite social class measure was computed as the average of standardized income and educational attainment (Kraus & Keltner, 2009). The correlation between income and education was $r(509) = .15, p = .001$, in Study 1a, and $r(454) = .14, p = .004$, in Study 1b. Participants in both studies reported a median household income of

between 25,001 and 35,000 € and a median educational attainment of a high school diploma (the German “Abitur”).

Manipulation of actor class. Actor class [low, high] was manipulated through downward vs. upward comparisons (Piff et al., 2010). Participants were presented with a drawing of a ladder with 10 rungs representing people with different levels of education, income, and occupational prestige in German society (with higher numbers reflecting higher classes). Participants assigned to the high (low) actor class condition were asked to compare themselves to people at the very *bottom* (*top*) of the ladder and think about the differences between these people and themselves. Then, participants indicated which ladder rung (1-10) they occupied within German society.

Manipulation of nature of the target. We manipulated the nature of the interaction target [illegitimately higher/lower outgroup, ingroup, unspecified] by modifying the instructions participants read before indicating their behavioral goals. Specifically, participants were asked to indicate their goals when interacting with (a) “people who unjustifiably stand at the very bottom/top of the ladder”, (b) “people from the same rung as you”, or (c) “others in general”. Note that only in the outgroup condition targets were described as illegitimate. Study 2 drops this restriction.

Agentic and communal goals. To assess agentic and communal goals, we used the Circumplex Scales of Intergroup Goals (CSIG; Locke, 2014), a 32-item measure that assesses a diversity of goals reflecting all possible mixtures of agentic and communal tendencies. The items were translated into German by two independent translators; the final version was back-translated by a native speaker, reaching very high correspondence with the original version, verified by its author. Table 1 details CSIG items and scale reliabilities; Table 2 summarizes the correlations between agency and communion in each condition.

Table 1

German and English Version of the Circumplex Scales of Intergroup Goals

Octant	Cronbach's α s	Scale name	German items "Es ist wichtig, dass..."	Original items "It is important that..."
PA	.80 .76 .80	bestimmt auftreten (be authoritative)	wir durchsetzungsstark sind; wir sicher auftreten; wir bestimmt auftreten; sie uns als fähig sehen	we are decisive; we appear confident; we are assertive; they see us as capable
BC	.74 .65 .70	stark sein (be tough)	wir Stärke demonstrieren; wir nicht verletzlich erscheinen; wir, wenn es nötig ist, aggressiv sind; wir unsere Schwäche nicht zeigen	we show that we can be tough; we not appear vulnerable; we are aggressive if necessary; we not show our weaknesses
DE	.71 .76 .77	selbstschützend sein (be self-protective)	wir aus jeder Diskussion oder jedem Streit als Gewinner hervorgehen; wir tun, was zu unserem Vorteil ist; wir ihnen überlegen sind; wir auf der Hut sind	we are the winners in any argument or dispute; we do whatever is in our best interest; we are better than them; we keep our guard up
FG	.68 .72 .73	wachsam sein (be wary)	wir sie sich selbst überlassen; sie sich aus unseren Angelegenheiten heraushalten; wir ihnen nicht trauen; wir uns nicht in ihre Angelegenheiten verwickeln lassen	we let them fend for themselves; they stay out of our business; we not trust them; we not get entangled in their affairs
HI	.78 .75 .80	konfliktvermeidend sein (be conflict-avoidant)	wir Konflikte vermeiden; sie nicht ärgerlich auf uns sind; wir nicht in einen Streit verwickelt werden; wir sie nicht ärgerlich machen	we avoid conflict; they not get angry with us; we not get into arguments; we not make them angry
JK	.71 .70 .75	kooperativ sein (be cooperative)	wir freundlich sind; wir ihre Leistungen würdigen; sie spüren, dass wir an einem Strang ziehen; wir partnerschaftlich handeln	we are friendly; we celebrate their achievements; they feel we are all on the same team; we are cooperative
LM	.72 .65 .77	verständnisvoll sein (be understanding)	wir schätzen, was sie anzubieten haben; wir ihren Standpunkt verstehen; wir Interesse für ihr Wohlergehen zeigen; wir fähig sind, Kompromisse einzugehen	we appreciate what they have to offer; we understand their point of view; we show concern for their welfare; we are able to compromise
NO	.72 .76 .79	respektiert werden (be respected)	sie respektieren, was wir zu sagen haben; wir Gelegenheit haben, unsere Meinung zu äußern; sie sich anhören, was wir zu sagen haben; sie uns Verantwortung zutrauen	they respect what we have to say; we get the chance to express our views; they listen to what we have to say; they see us as responsible

Note. Cronbach's alpha is shown separately for Studies 1a, 1b, 2. Respondents' octant scores were combined to yield an overall communion score by subtracting the uncommunal vector from the communal vector ($LM - DE + (.707 \times [JK + NO - BC - FG])$) and an overall agency score by subtracting the unagentic vector from the agentic vector ($PA - HI + (.707 \times [BC + NO - JK - FG])$; Leary, 1957; Locke, 2011).

Table 2

Correlations between Agentic and Communal Goals in Studies 1a, 1b, 2

Condition	<i>n</i>	<i>r</i>	<i>p</i>
Study 1a			
Outgroup	165	-.58	< .001
Ingroup	163	-.23	< .001
Unspecified	187	-.16	.033
Study 1b			
Outgroup	177	-.38	< .001
Ingroup	131	-.11	.219
Unspecified	148	-.13	.110
Study 2	1052	-.28	< .001

Participants rated the importance of each goal on 5-point scales ranging from 1 = *not important* to 5 = *very important*. For the sake of consistency with previous research, we changed the agent in the CSIG items from the *group* (“we”) to the *individual* (“I”) in the ingroup and unspecified target conditions (see Study 2 for a separate manipulation of the level of goal pursuit). Table 3 displays dimension reliabilities and descriptive information on the CSIG; for detailed information on how we tested circumplex structure see <https://osf.io/xhau4/>.

Table 3

Dimension Reliabilities and Descriptive Information on the CSIG in Studies 1a, 1b, 2

	Agency		Communion	
	α	Range	α	Range
Study 1a	.77	-2.09 - 2.68	.88	-2.10 - 3.63
Study 1b	.73	-1.99 - 2.56	.87	-1.40 - 3.68
Study 2	.79	-2.21 - 2.52	.90	-2.66 - 3.68

Note. Agentic and communal dimension scores theoretically range from -4 to +4.

Perceived legitimacy of social class inequality. Participants indicated to what extent they thought the disparity between people from different ladder rungs was justified, using a single-item scale (1 = *absolutely unjustified* to 5 = *absolutely justified*).

Results

We used R (R Core Team, 2017) for analyses, mainly relying on the packages Circe (Grassi, Luccio, & Di Blas, 2010), papaya (Aust & Barth, 2017), and afex (Singmann, Bolker, Westfall, & Aust, 2017).

Manipulation Check. As intended, in Study 1a, participants in the high actor class condition placed themselves significantly higher on the ladder ($M = 6.19$, $SD = 1.64$) than participants in the low actor class condition ($M = 5.66$, $SD = 1.52$; 95% CI of the difference [0.26, 0.80], $t(513) = 3.81$, $p < .001$, $d = 0.34$). In Study 1b, however, participants in the high actor class conditions ($M = 5.77$, $SD = 1.57$) placed themselves only marginally above participants in the low actor class conditions ($M = 5.50$, $SD = 1.57$, 95% CI [-0.02, 0.56], $t(454) = 1.80$, $p = .072$, $d = 0.17$).

Agentic goals. In Study 1a, a 2 (Actor Class [low, high]) \times 3 (Nature of the Target [illegitimately higher/lower outgroup, ingroup, unspecified]) ANOVA on agentic goals revealed a significant main effect of actor class, $F(1, 509) = 70.69$, $p < .001$, $\eta^2 = .122$, a non-significant main effect of nature of the target, $F(2, 509) = 2.42$, $p = .090$, $\eta^2 = .009$, and a significant two-way interaction, $F(2, 509) = 47.17$, $p < .001$, $\eta^2 = .156$. Figure 1 shows the pattern of results.

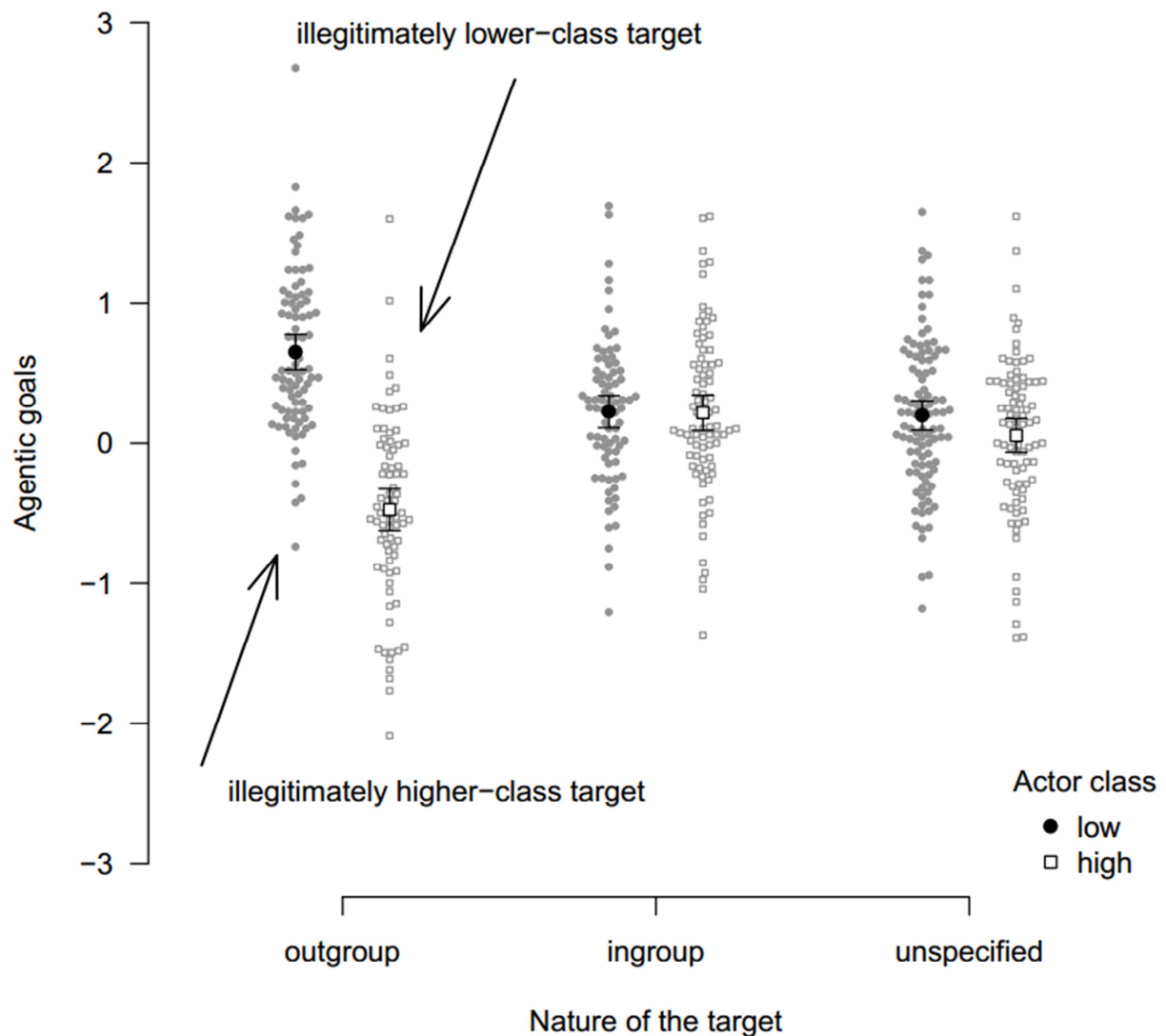


Figure 1. Beeswarm plot of agentic goals displayed in the low and high actor class condition in interactions with different targets (Study 1a).

Note. Error bars represent 95% confidence intervals (CI) around the cell means.

As Figure 1 highlights, consistent with the identity-based perspective, participants who imagined interactions with illegitimately higher-class targets expressed significantly stronger agentic goals compared to participants who imagined interactions with illegitimately lower-class targets (see Table 4 for descriptive and inferential statistics regarding the simple effects from Studies 1a and 1b). Inconsistent with the rank-based perspective, high actor class participants did not show higher agentic goals than low actor class participants in the ingroup or unspecified target conditions. Study 1b replicated the pattern of significant and non-significant effects.

Table 4

Means and Standard Deviations of Agentic and Communal Goals and Effect Sizes of the Social Class Manipulation (Studies 1a and 1b)

	Agentic goals						Communal goals					
	Low actor class <i>M (SD)</i>	High actor class <i>M (SD)</i>	<i>t</i>	<i>p</i>	95% CI	Cohen's <i>d</i>	Low actor class <i>M (SD)</i>	High actor class <i>M (SD)</i>	<i>t</i>	<i>p</i>	95% CI	Cohen's <i>d</i>
Study 1a												
Outgroup*	0.65 (0.58)	−0.48 (0.66)	12.61	<.001	0.95, 1.30	1.97	1.07 (0.86)	2.17 (0.89)	8.74	<.001	0.85, 1.35	1.36
Ingroup	0.23 (0.51)	0.22 (0.58)	−0.09	.930	−0.17, 0.18	−0.01	1.59 (0.80)	1.32 (0.79)	2.06	.040	0.01, 0.51	0.32
Unspecified	0.20 (0.53)	0.06 (0.56)	−1.71	.087	−0.02, 0.31	−0.25	1.51 (0.72)	1.51 (0.78)	0.05	.958	−0.23, 0.24	0.01
Study 1b												
Outgroup*	0.52 (0.58)	−0.26 (0.63)	9.33	<.001	0.62, 0.95	1.41	0.71 (0.92)	1.45 (1.11)	5.55	<.001	0.48, 1.01	0.84
Ingroup	0.20 (0.52)	0.25 (0.50)	0.41	.677	−0.23, 0.15	0.07	0.89 (0.75)	1.19 (0.73)	−1.93	.054	−0.60, 0.00	−0.34
Unspecified	0.21 (0.58)	0.17 (0.52)	−0.46	.651	−0.14, 0.23	−0.08	1.16 (0.96)	1.17 (0.78)	0.04	.972	−0.30, 0.29	0.01

Note. * The target class in the outgroup cells referred to “illegitimately higher class” in the low actor class conditions and to “illegitimately lower class” in the high actor class conditions. In Study 1a $df = 509$ and in Study 1b $df = 450$.

For exploratory purposes, we also examined the effects of objective social class. In Study 1a, one interesting finding emerged from a hierarchical multiple regression analysis. As Table 5 shows, objective social class predicted stronger agency. That is, consistent with the rank-based perspective (Kraus et al., 2012), the higher participants' objective social class, the more pronounced were their agentic goals averaged over all experimental conditions. However, this finding did not replicate in Study 1b.

Table 5

Multiple Regression Analyses Predicting Agentic and Communal Goals from Objective Social Class, Social Class, and Target (Studies 1a and 1b)

Predictor	Study 1a						Study 1b					
	Agentic goals			Communal goals			Agentic goals			Communal goals		
	<i>b</i>	<i>SE</i>	95% CI	<i>b</i>	<i>SE</i>	95% CI	<i>b</i>	<i>SE</i>	95% CI	<i>b</i>	<i>SE</i>	95% CI
Social Class (SC)	-.22**	.03	-0.27, -0.17	.14**	.04	0.07, 0.21	-.13**	.03	-0.18, -0.08	.17**	.04	0.09, 0.26
Outgroup	-.05	.04	-0.12, 0.02	.09	.05	-0.01, 0.19	-.05	.04	-0.12, 0.02	-.02	.06	-0.13, 0.10
Ingroup	.07	.04	-0.00, 0.14	-.07	.05	-0.17, 0.03	.04	.04	-0.03, 0.12	-.06	.06	-0.18, 0.07
Objective Social Class (OSC)	.08*	.03	0.01, 0.14	-.04	.05	-0.14, 0.05	-.01	.04	-0.08, 0.06	.08	.06	-0.03, 0.19
OSC × SC	.05	.03	-0.02, 0.12	.01	.05	-0.08, 0.10	-.00	.04	-0.07, 0.07	-.01	.06	-0.12, 0.11
SC × outgroup	-.34**	.04	-0.41, -0.27	.41**	.05	0.31, 0.51	-.26**	.04	-0.33, -0.19	.20**	.06	0.09, 0.32
SC × ingroup	.20**	.04	0.13, 0.27	-.27**	.05	-0.37, -0.17	.15**	.04	0.08, 0.23	-.03	.06	-0.15, 0.09
OSC × outgroup	.03	.05	-0.07, 0.12	-.00	.07	-0.13, 0.14	-.01	.05	-0.10, 0.09	-.02	.08	-0.17, 0.13
OSC × ingroup	-.03	.05	-0.12, 0.07	.02	.07	-0.11, 0.15	-.02	.05	-0.13, 0.09	-.00	.09	-0.17, 0.16

Note. * $p < .05$, ** $p < .01$; social class (reflecting actor class in the ingroup and unspecified conditions and target class in the outgroup condition)

was coded *low* = -1 and *high* = 1, nature of the target was effect-coded (outgroup = 1 or 0, ingroup = 1 or 0, unspecified = -1). As the inclusion of the three-way interaction (i.e., objective social class, social class, and nature of the target) did not significantly increase the amount of explained variance ($\Delta R^2 = .007$, $p = .082$), we refer to the model with two-way interactions ($\Delta R^2 = .141$, $p < .001$).

Communal goals. A 2×3 ANOVA on communal goals revealed a significant main effect of social class, $F(1, 509) = 15.15, p < .001, \eta^2 = .029$, which was qualified by a significant two-way interaction, $F(2, 509) = 33.25, p < .001, \eta^2 = .116$. There was no main effect of nature of the target, $F(2, 509) = 1.72, p = .180, \eta^2 = .007$. Figure 2 shows the pattern of results.

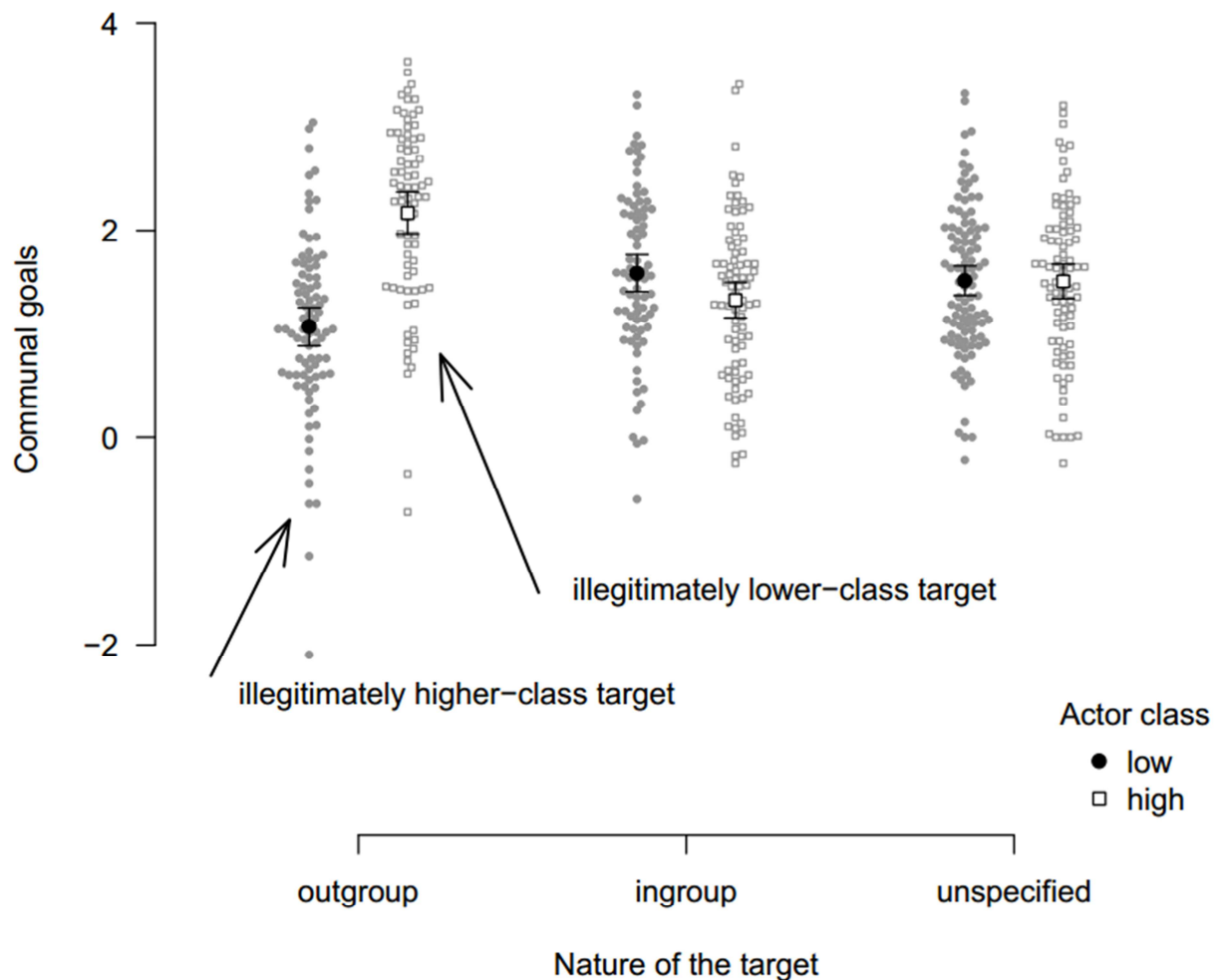


Figure 2. Beeswarm plot of communal goals displayed in the low and high actor class condition in interactions with different targets (Study 1a).

Note. Error bars represent 95% CI.

Consistent with the identity-based perspective, participants who imagined interactions with illegitimately lower-class targets showed significantly higher communal goals compared to participants who imagined interacting with illegitimately higher-class targets. This pattern was replicated in Study 1b (see Table 4). Consistent with the rank-based perspective, low

actor class participants showed significantly higher communal goals than high actor class participants. However, this effect was not significant in the unspecified target condition and it did not replicate in Study 1b (see Table 4).

In an additional exploratory analysis, a hierarchical multiple regression analysis with communal goals as the dependent variable showed no significant main or moderating effects of objective social class (see Table 5).

Perceived legitimacy of social class inequality. Although only the outgroup conditions specifically asked participants to imagine interacting with people who *unjustifiably* stood at the top/bottom of the ladder, with means ranging between $M = 2.23$ and $M = 2.74$ in Study 1a and $M = 2.45$ and $M = 2.82$ in Study 1b, perceived legitimacy scores were significantly below the midpoint of the 5-point scale in *all* conditions ($ps < .01$) except for the low actor class/unspecified condition in Study 1b ($p = .192$). Inclusion of this variable did not change the results reported above (see <https://osf.io/hdvfz/>).

Discussion

Studies 1a and 1b were designed to provide the ideal conditions for the effects predicted by the rank-based perspective and the identity-based perspective to emerge, and indeed, the overall pattern of results (discussed in the General Discussion) was close to expectations. However, the main limitation of Studies 1a and 1b is that effects were confounded for participants imagining interacting with outgroup members. Specifically, whereas low actor class participants always imagined interacting with higher-class targets, high actor class participants always imagined interacting with lower-class targets. Furthermore, participants interacting with outgroup members indicated their goal pursuit at the collective level (“we”) and were explicitly pointed to the illegitimacy of class differences, whereas participants in the other conditions responded at the individual level (“I”) and were not provided with any illegitimacy information. Study 2 tested the predictions of the identity-based perspective with a design avoiding these confounds.

Study 2

In Study 2 we separately manipulated actor class (low, high), target class (lower, higher) and level of goal pursuit (individual, collective) to conclusively test the prediction that people intend to act more communally toward lower-class targets and more agentically toward higher-class targets. Based on the identity-based perspective, we expected the effect of target class to emerge at all combinations of actor class and level of goal pursuit (although effect sizes might vary). Moreover, Study 2 did not mention the illegitimacy of targets' social class. Should the target class effect be replicated under these conditions, this would suggest that people spontaneously associate the very rich and very poor with illegitimacy. Indeed, in a recent representative survey (ALLBUS, 2014) only 5% of the German population agreed that "Social differences in Germany are just".

Finally, an alternative explanation of higher communion towards lower-class targets is that people might feel more liking toward lower-class than higher-class targets, which means that feelings of liking (rather than motives to restore the ingroup's communal image as suggested by the identity-based perspective) may explain communal tendencies towards lower-class targets. In Study 2 we examined if—in accord with the identity-based perspective—people would show stronger communal motives towards lower-class than higher-class targets even when controlling for outgroup liking.

Method

The desired sample size of $N = 1,080$ was determined a priori based on power analysis (<https://osf.io/u4d6r/>). Exclusion of participants (<https://osf.io/4zk8t/>) and hypothesis testing followed exactly the preregistration (<https://osf.io/wgxz6/>).

Participants. A sample of 1,052 usable participants (510 female, 540 male, 2 other; $Mdn_{age} = 33$) was recruited in Germany by the online research firm *clickworker*. With a median household income of between 25,001 and 35,000 € and a median educational

attainment of a high school diploma, this sample was similar to the samples of Studies 1a and 1b in terms of objective social class¹.

Design. Participants were randomly assigned to the cells of the 2 (Actor Class [low, high]) \times 2 (Target Class [lower, higher]) \times 2 (Level of Goal Pursuit [individual, group]) design.

Procedure. Participants completed a 10-minute online survey which contained, in order, demographic questions (including objective social class), the actor class manipulation [low, high], the CSIG (into which was embedded the target class and level of goal pursuit manipulations), and measures of perceived legitimacy of class inequality and liking of the target class. Unless described otherwise below, the measures and manipulations were the same as in Study 1.

Manipulation of target class and level of goal pursuit. Target class and level of goal pursuit were manipulated by changing the instructions for the CSIG items. For example, participants in the individual level condition read: “When *I* interact with people from the very bottom [top] of the ladder, it is important that... *I am* friendly”, whereas participants in the group level condition read: “When *people from my ladder rung* interact with people from the very bottom [top] of the ladder, it is important that... *we are* friendly”. Note that (il)legitimacy was not mentioned.

Liking. We measured target class liking with one item (“I like people from the top/bottom of the social ladder”; 1 = *do not agree at all* to 5 = *absolutely agree*.).

Results

Manipulation check. As intended, participants in the high actor class condition placed themselves significantly higher on the ladder ($M = 5.63$, $SD = 1.63$) than participants in the

¹ Because Study 2 was designed to complement the experimental design of Studies 1 with regard to *outgroup* interactions, we did not predict an effect of objective social class, which is hypothesized to affect behavioral goals in *ingroup* or *unspecified* interactions. Running exploratory multiple regression analyses with actor class, target class, goal level and objective class as independent variables revealed a significant positive main effect of objective social class on communal goals ($b = .08$, $SE = .04$, $p = .033$) and no effect on agentic goals. We refrain from interpreting this finding for the above reasons.

low actor class condition ($M = 5.42$, $SD = 1.60$; 95% CI of the difference [0.01, 0.40], $t(1050) = 2.06$, $p = .040$, $d = 0.13$).

As expected, perceived legitimacy scores were below the midpoint of the 5-point scale in all conditions ($ps < .001$). Thus, as in Study 1a and 1b, responses on the CSIG can be interpreted as goals for interactions with *illegitimately* higher/lower class members².

Agentic goals. A 2 (Actor Class [low, high]) \times 2 (Target Class [lower, higher]) \times 2 (Level of Goal Pursuit [individual, group]) ANOVA on agentic goals revealed significant main effects of target class, $F(1, 1044) = 431.34$, $p < .001$, $\eta^2 = .292$, and goal level, $F(1, 1044) = 12.12$, $p = .001$, $\eta^2 = .011$. Neither the main effect of actor class, $F(1, 1044) = 1.36$, $p = .244$, $\eta^2 = .001$, nor any of the two- or three-way-interactions were significant. Figure 3 shows the pattern of results.

² Consistent with the notion of insecure status relations (Tajfel & Turner, 1986), exploratory moderator analyses found that the identity-based effects of target class on agency and communion were stronger, the lower the perceived legitimacy and stability of class differences, and the lower the perceived permeability of class boundaries (see analysis code <https://osf.io/nztxd/>).

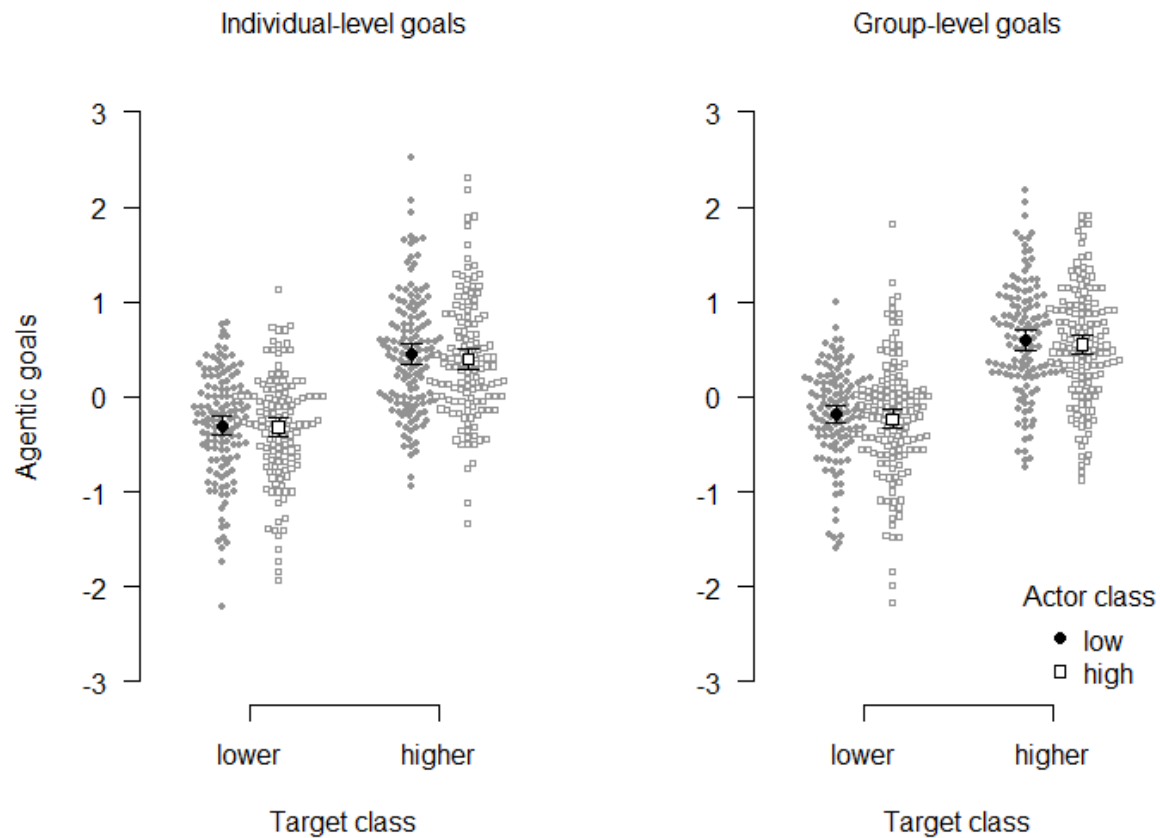


Figure 3. Beeswarm plot of agentic goals as a function of actor class, target class, and level of goal pursuit (Study 2).

Note. Error bars represent 95% CI.

Consistent with the identity-based perspective, the target class main effect indicates that participants who imagined an interaction with higher-class targets showed higher agentic goals compared to participants who imagined an interaction with lower-class targets. This effect persisted irrespective of actor class and level of goal pursuit (see Table 6 for descriptive and inferential statistics regarding the simple effects of Study 2).

Table 6

Means and Standard Deviations of Agentic and Communal Goals and Effect Sizes of the Target Class Manipulation (Study 2)

	Agentic goals						Communal goals					
	Lower target class <i>M (SD)</i>	Higher target class <i>M (SD)</i>	<i>t</i> (1044)	<i>p</i>	95% CI	Cohen's <i>d</i>	Lower target class <i>M (SD)</i>	Higher target class <i>M (SD)</i>	<i>t</i> (1044)	<i>p</i>	95% CI	Cohen's <i>d</i>
Individual												
High actor class	-0.32 (0.57)	0.39 (0.63)	-9.73	<.001	-0.86, -0.57	-1.21	1.29 (0.89)	0.92 (0.82)	3.24	<.001	0.15, 0.59	0.40
Low actor class	-0.30 (0.57)	0.45 (0.63)	-10.25	<.001	-0.90, -0.61	-1.27	1.27 (1.09)	0.82 (0.75)	3.94	.001	0.22, 0.67	0.49
Group												
High actor class	-0.23 (0.62)	0.55 (0.59)	-11.25	<.001	-0.92, -0.65	-1.32	1.71 (1.02)	1.02 (0.85)	6.48	<.001	0.49, 0.91	0.76
Low actor class	-0.19 (0.50)	0.60 (0.60)	-10.37	<.001	-0.94, -0.64	-1.34	1.91 (0.95)	1.17 (0.92)	6.28	<.001	0.51, 0.97	0.81

The main effect of goal level indicates that agentic goals were somewhat more pronounced when pursued on the group- (vs. the individual) level.

Communal goals. A $2 \times 2 \times 2$ ANOVA on communal goals revealed the expected main effect of target class, $F(1, 1044) = 99.01, p < .001, \eta^2 = .087$, which was qualified by a significant target class \times goal level interaction, $F(1, 1044) = 7.59, p = .006, \eta^2 = .007$, such that the predicted effect of target class was stronger when participants indicated their collective rather than individual goals. Figure 4 depicts the pattern of results.

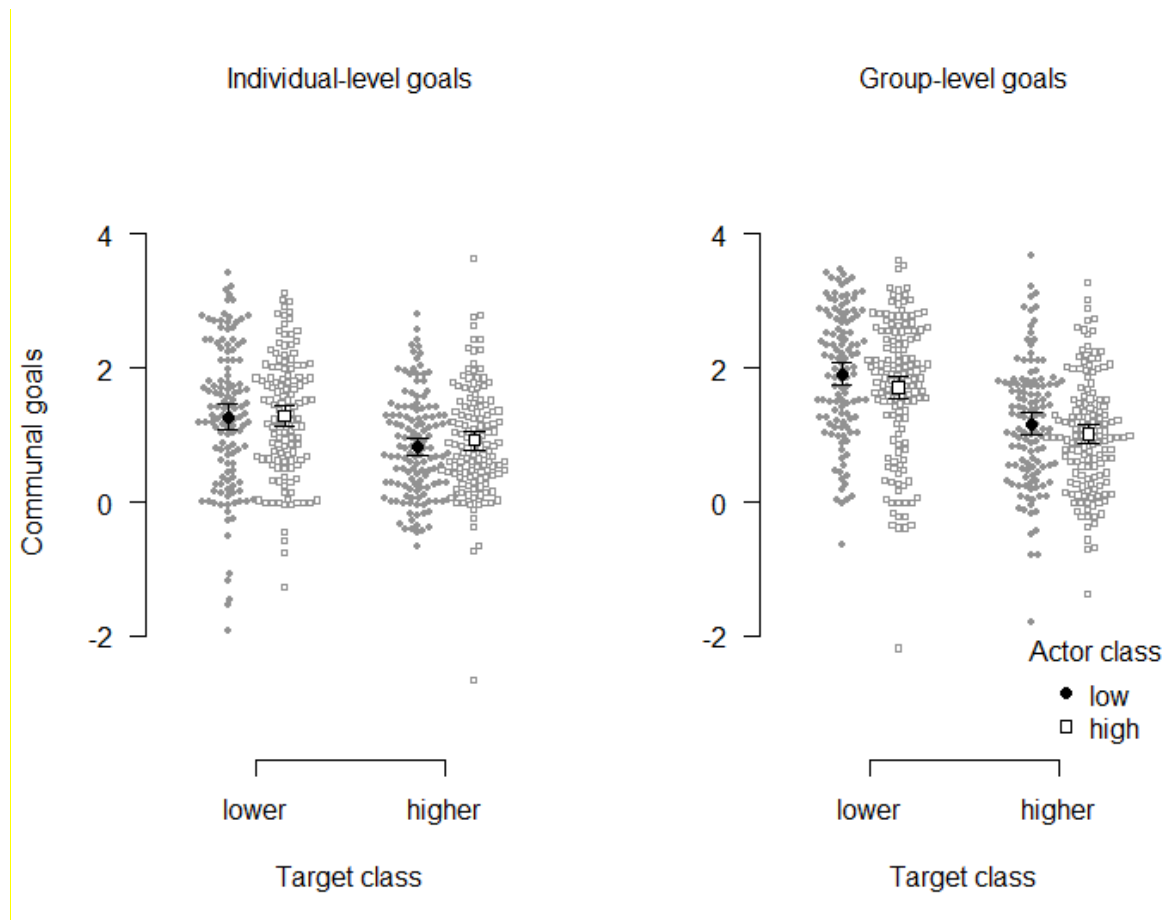


Figure 4. Beeswarm plot of communal goals as a function of actor class, target class, and level of goal pursuit (Study 2).

Note. Error bars represent 95% CI.

This ordinal interaction is consistent with our hypothesis that the simple effect of target class on communal goals would be negative at all levels of the other factors (i.e., actor

class and level of goal pursuit): Participants showed higher communal goals toward lower-class targets than toward higher-class targets in all four cells (see Table 6).

As indicated by a significant effect of goal level, $F(1, 1044) = 44.28, p < .001, \eta^2 = .041$, similar to agentic goals, communal goals were more pronounced when pursued on the group- (vs. the individual) level across the actor- and target class conditions.

Although the main effect of actor class was not significant, $F(1, 1044) = 0.97, p = .324, \eta^2 = .001$, an unexpected actor class \times goal level interaction emerged, $F(1, 1044) = 4.48, p = .035, \eta^2 = .004$, such that the effect of actor class on communal goals was significant when pursued on the group-level, $t(1044) = 2.20, p = .028, d = 0.50$, but not on the individual level, $t(1044) = -0.80, p = .426, d = -0.28$. Interestingly, the direction of this effect is consistent with predictions derived from the rank-based perspective: across target class conditions, communal goals were stronger among low actor class than high actor class participants.

Controlling for liking. Finally, we tested the alternative explanation that the target class effect might be due to greater liking of low-class targets. Indeed, participants liked people at the bottom ($M = 3.23, SD = 0.75$) more than people at the top ($M = 2.98, SD = 0.75$) of the ladder, $t(1048.12) = 5.48, p < .001, d = 0.34$. However, after including liking as a covariate, the effects of target class on communal and agentic goals remained significant and their effect sizes were reduced only minimally (by $\eta^2 = .02$ for both communal and agentic goals). This finding strengthens the identity-based theorizing that increased communal goals towards lower-class targets (vs. higher-class targets) reflect participants' motivation to restore their threatened moral-social identity.

Discussion

The results of Study 2 ruled out several alternative explanations, suggesting that the results predicted by the identity-based perspective are not driven by confounds existing in the designs of Studies 1a and 1b.

Specifically, in a context of class inequality (generally perceived as illegitimate), participants endorsed agentic goals toward higher-class targets and communal goals toward lower-class targets, irrespective of their own social class (i.e., actor class) and irrespective of level of goal pursuit (although the effect of target class on communion was stronger when participants indicated their collective rather than individual goals). Furthermore, this pattern of results remained the same after controlling for liking, which corroborates the assumption that the pursuit of communal and agentic intergroup goals is driven by advantaged or disadvantaged group members' needs to restore their *own* positive identities (rather than solely by how they feel about the outgroup; Nadler & Shnabel, 2015).

Although Study 2 focused on outgroup interactions and was not designed to test predictions of the rank-based perspective, we obtained an unpredicted effect of actor class on communion that is broadly consistent with the results of Study 1a. Specifically, low-class participants pursued higher communal goals than high-class participants, irrespective of target class, though this was only true for group-level goals.

General Discussion

The recent surge of social psychological research on social class, heavily informed by the rank-based perspective (e.g., Kraus et al., 2012), suggests that differences in behavioral styles of those at the top (more independence, risk taking, agency) and the bottom of the hierarchy (more interdependence, deference to authority, communion) may contribute to the maintenance of social inequality. However, as Markus (2017) notes in her recent commentary: “These behavioral tendencies are not inherent; they are socially afforded and promoted and can change with different social circumstances” (p. 214).

By complementing the rank-based perspective with an identity-based perspective (e.g., Shnabel & Ullrich, 2013), the present research contributes to a better understanding of just what these circumstances may be that reverse the pattern of higher agency and lower communion of higher-class individuals. According to the identity-based perspective, when

class differences are perceived to be illegitimate, people will pursue agentic goals in interactions with higher-class members and communal goals in interactions with lower-class members. Put differently, even more than the actor's class, the target's class heavily influences behavioral expressions of agency and communion.

Overall, results of the present research strongly support the identity-based perspective, but only partially support the rank-based perspective. Whereas people reliably and strongly endorsed agentic goals towards illegitimately higher-class targets and communal goals towards illegitimately lower-class targets, their own class had less consistent effects. In fact, the only effects we observed were that low-class actors more strongly endorsed communal goals in interactions with same-class targets than high-class actors, as well as a positive main effect of objective social class on agentic goals. These effects were obtained in Study 1a, but were not replicated in Study 1b. Finally, in Study 2 we obtained a negative effect of actor class on communal goals pursued on the group-level, which may be viewed as consistent with the rank-based perspective (albeit this perspective makes explicit predictions about individual-level rather than group-level goals).

One potential reason for the rather limited support for the rank-based perspective is that it is easier to manipulate target class than to manipulate actor class. It is important to point out that the relative rank manipulation cannot be understood as an operationalization of social class as a sociocultural concept (Stephens & Townsend, 2013). Rather, the use of this surrogate is a compromise, the value of which is that it allows experimental examination of the causal effects of social class. However, we also failed to find consistent correlational evidence for the rank-based perspective using objective social class (a composite measure of income and educational attainment). It remains a challenging task to develop other operationalizations to extract the effect of actor class while maintaining both construct validity (as when using objective social class measures) and internal validity (as when using the rank-based manipulation).

To summarize, our findings suggest that the behavioral styles of low- and high-class members described in current literature may not be so deeply ingrained. Rather, the emergence of agentic and communal motives may depend on the social class of both the actor and the other partner(s) to the interaction. This insight is a starting point for identity-based and rank-based perspectives to mutually enrich each other regarding the fundamental question of how social class influences social goals and behavior, with critical implications for understanding possible routes for social change.

References

- Abele, A. E., & Wojciszke, B. (2014). Communal and agentic content in social cognition: A Dual Perspective Model. In J. M. Olson & M.P. Zanna (Eds.), *Advances in Experimental Social Psychology* (Vol. 50, pp. 195-255). San Diego: Academic.
- ALLBUS (2014). Die Allgemeine Bevölkerungsumfrage der Sozialwissenschaften. Leibniz Institute for the Social Sciences.
- Aust, F. & Barth, M. (2017). papaja: Create APA manuscripts with R Markdown. R package version 0.1.0.9492, retrieved from <https://github.com/crsh/papaja>
- Dubois, D., Rucker, D. D., & Galinsky, A. D. (2015). Social class, power, and selfishness: When and why upper and lower class individuals behave unethically. *Journal of Personality and Social Psychology*, 108, 436-449. [http://dx.doi.org/ 10.1037/pspi0000008](http://dx.doi.org/10.1037/pspi0000008)
- Fiske, S. T., & Markus, H. R. (Eds.). (2012). *Facing social class. How societal rank influences interaction*. New York: Russell Sage.
- Grassi, M., Luccio, R., & Di Blas, L. (2010). CircE: An R implementation of Browne's circular stochastic process model. *Behavior Research Methods*, 42, 55-73. <https://doi.org/10.3758/BRM.42.1.55>
- Grosse Holtforth, M., Thomas, A., & Caspar, F. (2010). Interpersonal motivation. In L. M. Horowitz & S. Strack (Eds.), *Handbook of interpersonal psychology* (pp. 107–122). New York: Wiley.
- Guinote, A., Cotzia, I., Sandhu, S., & Siwa, P. (2015). Social status modulates prosocial behavior and egalitarianism in preschool children and adults. *Proceedings of the National Academy of Sciences*, 112(3), 731-736. doi: <http://dx.doi.org/10.1073/pnas.1414550112>
- Korndörfer, M., Egloff, B., & Schmukle, S. C. (2015). A large scale test of the effect of social class on prosocial behavior. *PLoS ONE* 10: e0133193. doi:10.1371/journal.pone.0133193

- Kraus, M. W., & Callaghan, B. (2016). Social class and prosocial behavior: The moderating role of public versus private contexts. *Social Psychological and Personality Science*, 7, 769-777. <http://dx.doi.org/10.1177/1948550616659120>
- Kraus, M. W., & Keltner, D. (2009). Signs of socioeconomic status: A thin-slicing approach. *Psychological Science*, 20, 99–106. <http://dx.doi.org/10.1111/j.1467-9280.2008.02251.x>
- Kraus, M. W., & Mendes, W. B. (2014). Sartorial symbols of social class elicit class-consistent behavioral and physiological responses: a dyadic approach. *Journal of Experimental Psychology: General*, 143(6), 2330-2340. <http://dx.doi.org/10.1037/xge0000023>
- Kraus, M. W., Piff, P. K., Mendoza-Denton, R., Rheinschmidt, M. L., & Keltner, D. (2012). Social class, solipsism, and contextualism: how the rich are different from the poor. *Psychological Review*, 119, 546-572. <http://dx.doi.org/10.1037/a0028756>
- Kraus, M. W., Tan, J. J., & Tannenbaum, M. B. (2013). The social ladder: A rank-based perspective on social class. *Psychological Inquiry*, 24(2), 81-96. <https://doi.org/10.1080/1047840X.2013.778803>
- Leary, T. (1957). *Interpersonal diagnosis of personality*. New York: Ronald. <http://dx.doi.org/10.1080/08853126.1957.10380790>
- Liebe, U., Naumann, E., & Tutić, A. (2017). Sozialer Status und prosoziales Handeln: Ein Quasi-Experiment im Krankenhaus [Social status and prosocial behavior: A quasi-experiment in the hospital]. *Kölner Zeitschrift für Soziologie und Sozialpsychologie*, 69, 109-129. <http://dx.doi.org/10.1007/s11577-016-0399-9>
- Locke, K. D. (2011). Circumplex measures of interpersonal constructs. In Horowitz, L.M. & Strack, S. (Eds.), *Handbook of Interpersonal psychology: Theory, Research, Assessment and Therapeutic Interventions* (pp. 313-342). New Jersey: John Wiley & Sons, Inc.

- Locke, K. D. (2014). Circumplex scales of intergroup goals: An interpersonal circle model of goals for interactions between groups. *Personality and Social Psychology Bulletin*, 40, 433-449. <http://dx.doi.org/10.1177/0146167213514280>
- Markus, H. R. (2017). In this together: Doing and undoing inequality and social class divides. *Journal of Social Issues*, 73, 211–221. <http://dx.doi.org/10.1111/josi.12212>
- Nadler, A., & Shnabel, N. (2015). Intergroup reconciliation: Instrumental and socio-emotional processes and the need based model. *European Review of Social Psychology*, 26, 93-125. <http://dx.doi.org/10.1080/10463283.2015.1106712>
- Piff, P. K., Kraus, M. W., Côté, S., Cheng, B. H., & Keltner, D. (2010). Having less, giving more: the influence of social class on prosocial behavior. *Journal of Personality and Social Psychology*, 99, 771-784. <http://dx.doi.org/10.1037/a0020092>
- R Core Team (2017). R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria. URL <https://www.R-project.org/>.
- Shnabel, N., & Ullrich, J. (2013). Increasing intergroup cooperation toward social change by restoring advantaged and disadvantaged groups' positive identities. *Journal of Social and Political Psychology*, 1, 216-238. <http://dx.doi.org/10.5964/jspp.v1i1.187>
- Shnabel, N., Ullrich, J., Nadler, A., Dovidio, J. F., & Aydin, A. L. (2013). Warm or competent? Improving intergroup relations by addressing threatened identities of advantaged and disadvantaged groups. *European Journal of Social Psychology*, 43, 482-492. <http://dx.doi.org/10.1002/ejsp.1975>
- Siem, B., von Oettingen, M., Mummendey, A., & Nadler, A. (2013). When status differences are illegitimate, groups' needs diverge: Testing the needs-based model of reconciliation in contexts of status inequality. *European Journal of Social Psychology*, 43, 137-148. <http://dx.doi.org/10.1002/ejsp.1929>
- Singmann, H., Bolker, B., Westfall, J., & Aust, F. (2017). afex: Analysis of Factorial Experiments. R package version 0.18-0. <https://CRAN.R-project.org/package=afex>

- Stellar, J. E., Manzo, V. M., Kraus, M.W., & Keltner, D. (2012). Class and compassion: socioeconomic factors predict responses to suffering. *Emotion, 12*, 449-459.
<http://dx.doi.org/10.1037/a0026508>
- Stephens, N. M., Markus, H. R., & Phillips, L. T. (2014). Social class culture cycles: How three gateway contexts shape selves and fuel inequality. *Annual Review of Psychology, 65*, 611–634. <http://dx.doi.org/10.1146/annurev-psych-010213-115143>
- Stephens, N. M. & Townsend, S. S. M. (2013). Rank is not enough: Why we need a sociocultural perspective to understand social class. *Psychological Inquiry 24* (2), 126-130.
<https://doi.org/10.1080/1047840X.2013.795099>
- Tajfel, H., & Turner, J. C. (1986). The social identity theory of intergroup behavior. In S. Worchel & W. G. Austin (Eds.), *Psychology of intergroup relations* (pp. 7–24). Chicago, IL: Nelson-Hall.
- Turner, J. C., Hogg, M. A., Oakes, P. J., Reicher, S. D., & Wetherell, M. S. (1987). *Rediscovering the social group: A self-categorization theory*. Basil Blackwell.
- van Doesum, N. J., Tybur, J. M., & van Lange, P. A. (2017). Class impressions: Higher social class elicits lower prosociality. *Journal of Experimental Social Psychology, 68*, 11-20.
<https://doi.org/10.1016/j.jesp.2016.06.001>